An MPC Approach to Transient Control of Liquid-Propellant Rocket Engines



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- 1. Introduction: context and objectives
- 2. Modelling
- 3. Controller design
- 4. Analysis of results
- 5. Conclusion

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1. Introduction: problem definition

This article presents:

- A methodology for controlling the continuous part of the transient phases of Liquid-Propellant Rocket Engines (LPRE):
 - LPRE are complex thermodynamic systems → nonlinear modelbased approach based on fluid mechanics.
 - **Control goal** in this paper:
 - End-state tracking in main engine variables.
 - Verification of **operational constraints** during transient.
 - Controller:
 - **Nonlinear preprocessor** for reference generation.
 - **Linear MPC** with robustness considerations.
- Progress within the **research trend of improving** the **control performance and robustness** of these devices.



1. Introduction: context

Global trend for affordable access to space →Reusable launchers+ rocket engines

- Reusability race after semi-reusable Space Shuttle
 - Demanding control robustness requirements: multi-restart and thrust-modulation features. [1]
 - Classical steady-state multivariable and linear control → reduced throttling envelope (70%-120% thrust)→too narrow for reusable engines [1] (30% expected in Prometheus).



1. Introduction: state of the art

- Most common approaches in literature → linearised models about operating points, for synthesising steady-state controllers:
 - PID based [11]. MIMO are decoupled into dominant SISO subsystems.
 - Off-line optimisation: [4].
 - Incorporation of:
 - Nonlinear techniques [6]
 - Hybrid techniques [10].
 - Robust techniques [15].
 - Reconfiguration control [10].
- **No publications** considering **steady-state and transients**, reviewed in [13].



1. Introduction: objectives

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- **Enhance** Liquid-Propellant • Rocket Engines (LPRE) control.
 - currently carried out as Fuel pump Oxidizer pump Turbine sequences of events in open loop 100 Exhaust ccv Pre-90 FPOV Position Control urne Position valves 80 MFV 70 Position OPOV 60 Combustior chamber Valve Position Position 50 (% full open) Heat exchanger 40 Open-Loop Closed-Loop 30 Mixture Ratio Control Mixture Ratio Control **MOV Position** 20 **Open-Loop** Closed-Loop 10 Thrust Control Thrust Control Nozzle 0 5 2 3 7 0 1 6 Time from Start Command (sec) (BOEING Rocketdyne Propulsion & Power irseem ONERA Sciences et technologies

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Main target \rightarrow control strategy

during their transient phases,

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1. Introduction: objectives

– Goals:

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- Final-reference tracking at the end of start-up transient, via tuning of continuous controls (valves).
 - Main variables to track: combustion-chamber pressure (pCC) and oxidiser-to-fuel mixture ratios (MR).
- Constraints verification (on states and control).
- Robustness to parameters and initial-conditions variations and to perturbations.

Constrained enough to consider trajectory tracking unnecessary.







1st steps: development of simulator and state-space models (in [12])

• T-RETM library: Vulcain simulator.



- Engine case study: retired *Vulcain* 1 (AG 1996-2009) with biased parameters
 - Gas-generator cycle (GG), LOX/LH2, bi-turbopump.
 - Actuators: 5 continuously-controllable valves (VCH, VCO, VGH, VGO and VGC), 1 binary igniter (i_{CC}) and 1 binary starter (i_{GG}).

- Valve angles α varied to control chamber pressure p_{CC} and mixture ratio $MR = \frac{\dot{m}_{ox}}{\dot{m}_{fu}}$



Translation into a state-space model

Simulator





 $\mathbf{x} = \begin{bmatrix} \omega_H & \omega_O & p_{CC} & p_{GG} & p_{LTH} & p_{VGC} & \dot{m}_{LTH} & \dot{m}_{VCH} & \dot{m}_{VCO} & \dot{m}_{VGH} & \dot{m}_{VGO} & \dot{m}_{VGC} \end{bmatrix}^T$ $\mathbf{x}_z = \begin{bmatrix} p_{CC} & \dot{m}_{VCH} & \dot{m}_{VCO} & \dot{m}_{VGH} & \dot{m}_{VGO} \end{bmatrix}^T$ $\mathbf{u} = \begin{bmatrix} A_{VCH} & A_{VCO} & A_{VGH} & A_{VGO} & A_{VGC} \end{bmatrix}^T$ $\mathbf{u} = \begin{bmatrix} A_{VCH} & A_{VCO} & A_{VGH} & A_{VGO} & A_{VGC} \end{bmatrix}^T$



Model-Predictive Control (MPC):



- Constraints can be well defined and respected with this family of approaches.
- Robustness can also be handled.

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Drawback: computational time, due to online computation of control law.

- terminal region constraint $x(t + T_P) \in \mathcal{E}$ • terminal penalty term $E(x(t + T_P))$ $\min_{u} J(x(t), u)$ $J(\cdot) = \int_{t}^{t+T_p} F(x(\tau), u(\tau)) d\tau + E(x(t + T_P))$ subject to: $\dot{x} = f(x, u)$, system dynamics x(t) given "state feedback" A 3.0 $u(\tau) \in \mathcal{U}$ input constraints $x(\tau) \in \mathcal{X}$ state constraints
 - Quasi-infinite horizon: with terminal-set constraint [3] Chen and Allgöwer. The MPC controller drives the system to an end set, where a simple fictitious controller ensures stability and reachability.

 $x(t+T_p) \in \mathcal{E}$ terminal constraint [3], Allgöwer and Müller





- **Reference generator:** reconstruction of whole state from input configuration (4 data).
 - Least squares with complex NLSS f_c at equilibrium (*Isqnonlin* MATLAB).
- MPC:

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- **Optimisation NL** under constraints with *IPOPT* [16].
- $\Delta t = 10^{-2}s$, Np = $10\Delta t$, Nu = $5\Delta t$





- **Reference generator:** reconstruction of whole state from input command from launcher (4 data).
 - Least squares with complex NLSS f_c at equilibrium (*Isqnonlin* MATLAB).
 - Forced by the unavailability of analytic solution.
 - Verification of static mixture ratios.

$$\begin{cases} \dot{\mathbf{x}} = f_c(\mathbf{x}_r, \mathbf{u}_r) = 0 & \langle \dot{p}_{CC} = 0 \rangle \\ \frac{\tilde{m}_{VCO} + \tilde{m}_{VGO}}{\tilde{m}_{VCH} + \tilde{m}_{VGH}} = MR_{PI,r} \\ \frac{\tilde{m}_{VGO}}{\tilde{m}_{VGH}} = MR_{GG,r} \\ \tilde{m}_{VGH} + \tilde{m}_{VGO} = \tilde{m}_{LTH} + \tilde{m}_{VGC} \end{cases}$$







- Quadratic cost function, with terminal-cost term:

$$J(\mathbf{x}, \mathbf{u}, \mathbf{z}) = \left(\sum_{k=1}^{N_p} \mathbf{x}_k^T Q \mathbf{x}_k + \sum_{k=1}^{N_u} \mathbf{u}_k^T R \mathbf{u}_k + \sum_{k=1}^{N_p} \mathbf{z}_k^T S \mathbf{z}_k\right) \Delta t + \mathbf{x}_{N_p+1}^T P \mathbf{x}_{N_p+1}$$

Q, R optimised via black-box Kriging [8]

 $\min_{\mathbf{x}_i, \mathbf{u}, \mathbf{z}_i, \gamma}$

s.t.
$$J(\mathbf{x}_i, \mathbf{u}, \mathbf{z}_i) \leq \gamma \quad \forall i \in I$$

 $\mathbf{x}_i \in X, \quad \mathbf{u} \in U \quad \forall i \in I \checkmark$
 $A_{ineq}[\mathbf{x}_i \quad \mathbf{u}]^T \leq \mathbf{b}_{ineq} \quad \forall i \in I \checkmark$
 $A_{eq}[\mathbf{x}_i \quad \mathbf{u}]^T = \mathbf{b}_{i,eq} \quad \forall i \in I \checkmark$

$$\mathbf{x}_{i,N_p+1}^T P \mathbf{x}_{i,N_p+1} \le \alpha_P \quad \forall i \in I \bigstar$$

$$\mathbf{z}_{i,k+1} = \mathbf{z}_{i,k} + \Delta \iota \kappa_I \mathbf{x}_{z,i,k} \quad \forall i \in I, k \in [0, N_p]. \checkmark$$

$$\mathbf{x}_{i,k} = A_d \mathbf{x}_{i,k} + B_d \mathbf{u}_k + \mathbf{w}_{i,k}, \quad k \in [0, N_p + 1],$$

$w_{i,k}$ selected according to eigenvectors of A_d [2,17]



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Robust MPC:

- Minimax problem: worst-case scenario for given perturbation w [9]: $\min_{\mathbf{u}} \max_{\mathbf{w}} J(\mathbf{x}, \mathbf{u})$

s.t.
$$\mathbf{x} \in X \quad \forall \mathbf{w} \in \mathbb{W}^n$$

 $\mathbf{u} \in U \quad \forall \mathbf{w} \in \mathbb{W}^n$

- Minimax optimisation too costly \rightarrow rewriting as min of scalar $\gamma \in \mathbb{R}^+$ for given perturbation cases (equivalent epigraph formulation) [5]
- Smooth convex NLP (nonlinear programme).

Constraints:

- State and control bounds [7]
- Linear MR+ actuators (\dot{u}_{max}) inequalities.
- Linear dynamics.
- Nonlinear for terminal region [3].

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- Integrator dynamics [14]
- Matrices A, B at reference end point, to converge to $x_r=0$ in the linear case.



4. Analysis of results

• Results of robust MPC for a set perturbed cases.



Indicator	p _{cc} tracking error (%)		MR tracking errors (CC, GG, PI) (%)		Overshoot (% in p _{cc})	
Operating point	OL	CL	OL	CL	OL	CL
70%	2,8	0,26	2,58; 1,31; 2,84	1,38; 0,69; 0,85	15,1	11,46
100%	0,25	0,26	0,17; 1,39 1,43	0,01; 0,05; 0,3	6,31	5,04
120%	0,34	0,67	3,18; 1,23; 3,41	1,37; 0,59; 1,64	3,34	4,04
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4. Analysis of results

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• PID and LQR achieve tracking, but MPC also ensures constraints verification :





5. Conclusion

- Evolving operating requirements of pump-fed rocket engines, currently related to reusability scenarios → force the improvement of their control algorithm, in OL during transients.
- Control of continuous phase of LPRE transients:
 - Full-state reference generation via complex nonlinear state-space model.
 - Linear MPC with integral action: end-state tracking while verifying constraints.
 - Robustness considerations for a given set of perturbation scenarios.

• Perspectives:

- Investigation of other ways of posing robustness globally.
- Trajectory generation and tracking.
- Extensive validation and sensitivity study.



Thank you for your attention. Any questions or suggestions?







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Backup slides: State-space model

State-space model

$$\dot{x} := f(x, u_c, u_d);$$

$$\dot{x} := \begin{bmatrix} \widetilde{\omega}_{H'} \ \widetilde{\omega}_{O}, \ \widetilde{p}_{CC'}, \ \widetilde{p}_{GG'}, \ \widetilde{p}_{LTH'}, \ \widetilde{p}_{VGC'}, \ \widetilde{m}_{LTH'}, \ \widetilde{m}_{VCH'}, \ \widetilde{m}_{VCO}, \ \widetilde{m}_{VGH'}, \ \widetilde{m}_{VGO}, \ \widetilde{m}_{VGH'} \end{bmatrix}^{T};$$

$$x := \begin{bmatrix} \widetilde{\omega}_{H'} \ \widetilde{\omega}_{O}, \ \widetilde{p}_{CC'}, \ \widetilde{p}_{GG'}, \ \widetilde{p}_{LTH'}, \ \widetilde{p}_{VGC'}, \ \widetilde{m}_{LTH'}, \ \widetilde{m}_{VCH'}, \ \widetilde{m}_{VCO}, \ \widetilde{m}_{VGH'}, \ \widetilde{m}_{VGO'}, \ \widetilde{m}_{VGH'} \end{bmatrix}^{T};$$

$$u_c := \begin{bmatrix} \overline{AVCH}, \ \overline{AVCO}, \ \overline{AVGH}, \ \overline{AVGO}, \ \overline{AVGC} \end{bmatrix}^{T}; # equivalent to \ \alpha_i$$

$$u_d := \begin{bmatrix} i_{CC} \ i_{GG} \end{bmatrix}^{T};$$

$$\widetilde{\omega}_H = \frac{\omega_H}{\omega_{Heq}}; \ \widetilde{\omega}_O = \frac{\omega_O}{\omega_{Heq}}; \ \widetilde{p}_{CC} = \frac{p_{CC}}{p_{CCeq}}; \ \widetilde{p}_{GG} = \frac{p_{GG}}{p_{CCeq}}; \ \widetilde{p}_{CCeq} ; \ \widetilde{m}_{VCH} = \frac{\dot{m}_{VCH}}{\dot{m}_{VCHeq}}; \ \widetilde{m}_{VCH} = \frac{\dot{m}_{VCH}}{\dot{m}_{VCHeq}}; \ \widetilde{m}_{VGH} = \frac{\dot{m}_{VGH}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VGO} = \frac{\dot{m}_{VGO}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VGO} = \frac{\dot{m}_{VGO}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VGO} = \frac{\dot{m}_{VGO}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VGO} = \frac{\dot{m}_{VGO}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VGC}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VGH} = \frac{\dot{m}_{VGH}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VGC}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VGHeq} = \frac{\dot{m}_{VGO}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VGC}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VGC}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VGHeq} = \frac{\dot{m}_{VGO}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VGC}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VGHeq} = \frac{\dot{m}_{VGH}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VGC}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VGC}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VGC}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VGC}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VG}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VG}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VG}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VG}}{\dot{m}_{VGHeq}}; \ \widetilde{m}_{VG} = \frac{\dot{m}_{VG}}{\dot{m}_{VG}}; \ \widetilde{m}_{VG} = \frac{\dot{m}$$

$$\begin{split} & \omega_{H} \in (0, 40] \, krpm; \, \omega_{O} \in (0, 15] \, krpm; \\ & MR_{CC} = \frac{\dot{m}_{VCO}}{\dot{m}_{VCH}} \in [0.3, 7]; MR_{GG} = \frac{\dot{m}_{VGO}}{\dot{m}_{VGH}} \in [0.3, 1.4]; \\ & \dot{p}_{GG} \leq 40 \, bar \end{split}$$

Control constraints $i_i \in \{0, 1\}; A_i \in [0, A_{\max}]^\circ; \dot{A}_i \leq \dot{A}_{i, \max}$





Backup slides: Vulcain NL model

$$f(x, 0) \text{ NL} \quad \text{AxL} \quad f(x) \text{ UL} \quad \text{f(x) U$$

