
NMPC for a Diesel Engine Formulation and Experimental Validation

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Outline

Problem Statement

Moving Horizon Observer Design

Parameterized NMPC

Experimental Results

Conclusion and Future Works



Diesel Engine



Diesel Engine



- Advantages
 - Reduced fuel consumption
 - Important torque at low speed

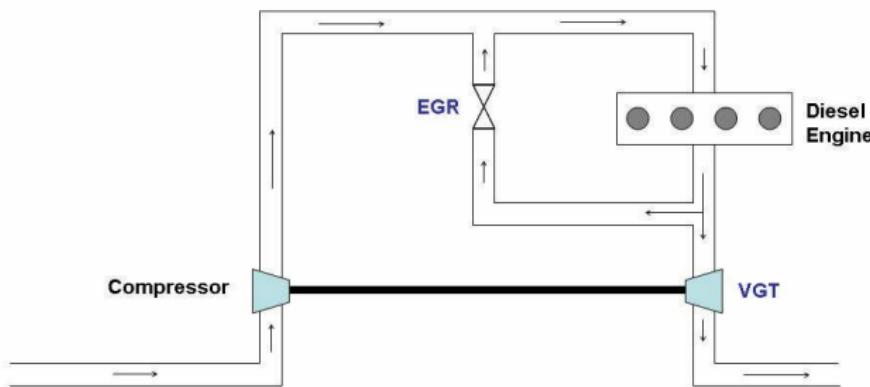
Diesel Engine



- Advantages
 - Reduced fuel consumption
 - Important torque at low speed

- Drawback
 - Emissions of oxides of nitrogen (NOx) and particulate matter (PM)

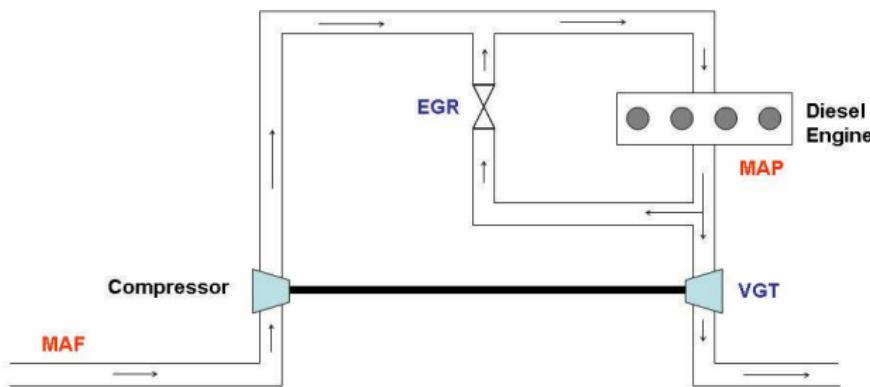
Diesel Engine Air-Path



The inputs

- Exhaust Gaz Recirculation (**EGR**)
- Variable Geometry Turbocharger (**VGT**)

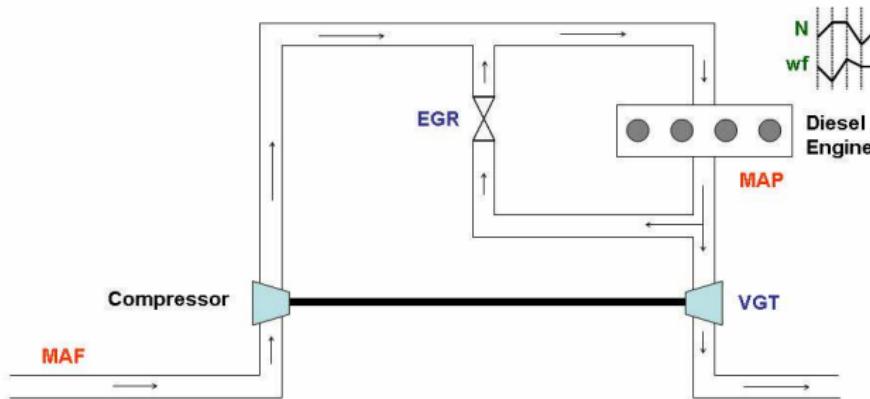
Diesel Engine Air-Path



Control of emission needs to track the following outputs

- Manifold Air Pressure (**MAP**)
- Mass Air Flow (**MAF**)

Diesel Engine Air-Path



The measured disturbances

- Engine Speed (**N**)
- Fuel Injection (**wf**)

System Modeling

Model 1

$$\begin{aligned}x_1^+ &= [A(y)]x_1 + B_1 u + G_1 w \\y_1 &= [C(y)]x_1\end{aligned}$$

- $x_1 \in \mathbb{R}^{n=13}$
- Sampling Time $\tau_s = 10 \text{ ms}$

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Model 2

$$\begin{aligned}x_2^+ &= [A(u, w)]x_2 + B_2 u + G_2 w \\y_2 &= [C(u, w)]x_2\end{aligned}$$

- $x_2 \in \mathbb{R}^{n=8}$
- Sampling Time $\tau_s = 50 \text{ ms}$

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Outputs :

- $y := (\text{MAP}, \text{MAF})^T \in \mathbb{R}^2$

Inputs :

- $u := (\text{EGR}, \text{VGT})^T \in \mathbb{R}^2$
- $w := (N, wf)^T \in \mathbb{R}^2$

Constraints :

- $u \in [u^{\min}, u^{\max}]$ (componentwise)
- $|\delta u| \in [-\delta^{\max}, +\delta^{\max}]$

Moving Horizon Observer

- Based on a moving and fixed-size window No of past measurements



Moving Horizon Observer

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- Application to nonlinear systems

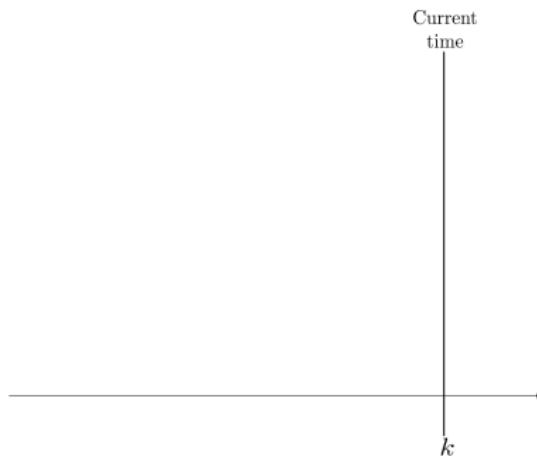


Moving Horizon Observer

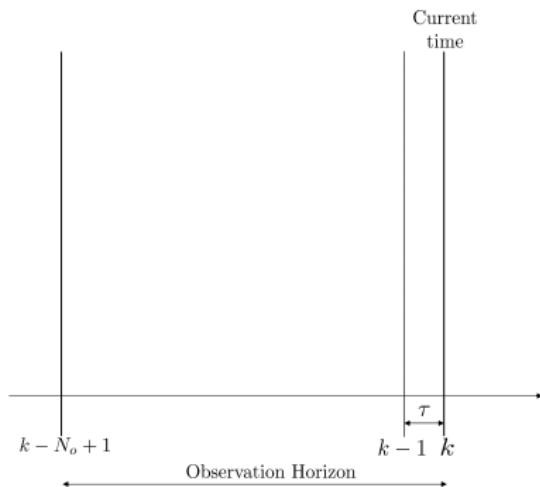
- Based on a moving and fixed-size window No of past measurements
- Application to nonlinear systems
- MHO uses the maximum of information on the part of the state that affects the measured output



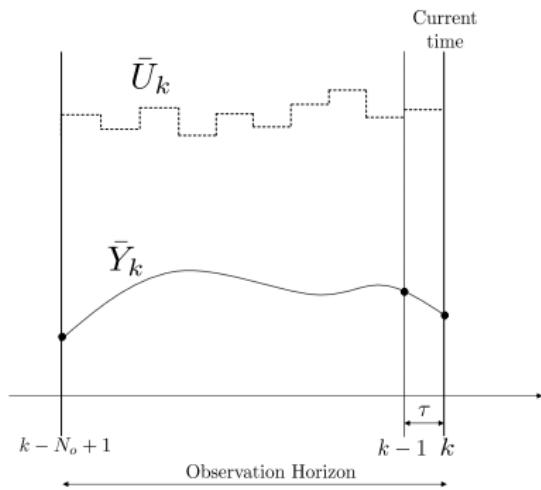
Moving Horizon Observer



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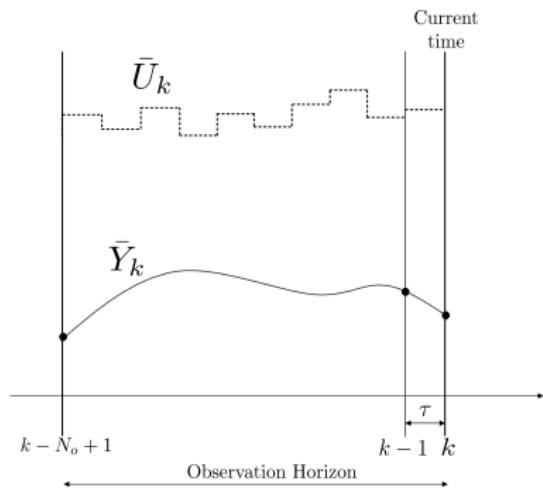
Past inputs and outputs

$$\bar{Y}_k := \begin{pmatrix} y_{k-N_o+1} \\ \vdots \\ y_k \end{pmatrix}, \quad \bar{U}_k := \begin{pmatrix} w_{k-N_o+1} \\ u_{k-N_o+1} \\ \vdots \\ w_{k-1} \\ u_{k-1} \end{pmatrix}$$

System of equations depending on U_k

$$\begin{aligned} x_k &= [\Phi(\bar{U}_k)] \cdot x^{(-)} + [\Psi(\bar{U}_k)] \cdot \bar{U}_k \\ \bar{Y}_k &= [\Omega(\bar{U}_k)] \cdot x^{(-)} + [\Gamma(\bar{U}_k)] \cdot \bar{U}_k \end{aligned}$$

Moving Horizon Observer



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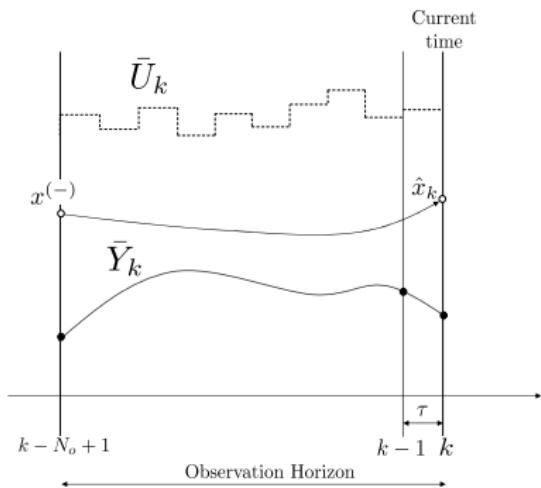
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Condition at $k - 1|k$

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$$\bar{Y}_k - [\Gamma(\bar{U}_k)] \cdot \bar{U}_k = [\Omega(\bar{U}_k)] \cdot \hat{x}^{(-)} \quad (2)$$



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$$\hat{x}_k = [\Phi(\bar{U}_k)] \cdot \xi_{opt}(\bar{U}_k, \bar{Y}_k, \hat{x}_{k-1}) + [\Psi(\bar{U}_k)] \cdot \bar{U}_k$$



Parameterized NMPC

Application to the Diesel Engine

- Low computational cost for on line optimization
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The basic idea

- As long as the system minimizes a given cost function, which means to get closer to the desired values, an "extra" saturation is imposed to the inputs



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NMPC Scheme

- Steady control u^*
- Define a temporal parametrization involving u^*



Computation of the steady control $u^*(y_d, w, u_d)$

Given the desired output y_d , the measured disturbance w and an initial guess for u_d , a candidate output y_c can be written as follows

$$y_c(u_d, w) = C(u_d, w)[\mathbb{I}_n - A(u_d, w)]^{-1} \cdot [B \cdot u_d + G \cdot w]$$

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The steady control u^* is obtained by minimizing the following cost function J_s :

$$J_s(u_d) := \gamma \|y_c(u_d, w) - y_d\|^2$$

under the constraints :

$$u_d \in [u_{min}, u_{max}]$$

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And the steady state x^* can be obtained

$$x^* = [\mathbb{I}_n - A(u^*, w)]^{-1} \cdot [B \cdot u^* + G \cdot w]$$

Parametrization of the control profile

Given the desired output y_d , the measured disturbance w and the steady control $u^*(y_d, w, u_d)$, the piece-wise constant control u is defined by :

Parametrization of the control profile

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$$\mathbf{u}(t) = \text{Sat}_{u_{\min}}^{u_{\max}} \left(u^*(y_d, w, u_d) + \alpha_1 e^{-\lambda t} + \alpha_2 e^{-q\lambda t} \right) ; \quad \alpha_i \in \mathbb{R}^2$$

where λ and q are the tuning parameters for the exponential modes

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Moreover, α_1 and α_2 must satisfy the following set of constraints :

- ➊ Continuity of the control ($t = 0$)

$$u^* + \alpha_1 + \alpha_2 = u_k$$

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Moreover, α_1 and α_2 must satisfy the following set of constraints :

- ① Continuity of the control ($t = 0$)

$$u^* + \alpha_1 + \alpha_2 = u_k$$

- ② Parametrization of the derivative ($t = \tau$)

$$(e^{-\lambda\tau} - 1) \cdot \alpha_1 + (e^{-q\lambda\tau} - 1) \cdot \alpha_2 = p\delta_{\max} \quad \text{where } p \in [-1, 1]^2$$



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$$\begin{pmatrix} \alpha_1(\mathbf{p}) \\ \alpha_2(\mathbf{p}) \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ e^{-\lambda\tau} - 1 & e^{-q\lambda\tau} - 1 \end{pmatrix}^{-1} \begin{pmatrix} u_k - u^*(y_d, w, u_d) \\ p\delta_{\max} \end{pmatrix}$$

Parametrization of the control profile

The n-dimentional exponential parametrization

$$\mathbf{u}(t) = \text{Sat}_{u_{\min}}^{u_{\max}} \left(u^*(y_d, w) + \alpha_1 e^{-\lambda t} + \alpha_2 e^{-q\lambda t} + \color{brown}\alpha_3 e^{-c_3 t} + \dots + \color{brown}\alpha_n e^{-c_n t} \right)$$

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gives the following extended system of equations

$$\begin{pmatrix} \alpha_1(p, \alpha_3, \dots, \alpha_n) \\ \alpha_2(p, \alpha_3, \dots, \alpha_n) \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ e^{-\lambda\tau} - 1 & e^{-q\lambda\tau} - 1 \end{pmatrix}^{-1} \begin{pmatrix} u_k - u^*(y_d, w, u_d) - \alpha_3 - \dots - \alpha_n \\ p\delta_{\max} - \alpha_3(e^{-c_3\tau} - 1) - \dots - \alpha_n(e^{-c_n\tau} - 1) \end{pmatrix}$$

where

- $\alpha_3, \dots, \alpha_n$ are the extra degree of freedom
- c_3, \dots, c_n are the respective exponential coefficients

Definition of the cost function

Given the desired output y_d , the measured disturbance w , the initial value of u_d and the estimated value of the state \hat{x}_{k+1}^p at the next decision instant $k + 1$, the cost function $J(p)$ can be defined as follows :

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$$J(p) := \sum_{i=1}^{N_p} \left[\|Y(i, p, \hat{x}_{k+1}^p) - Y^f(i, y_d, w)\|^2 \right] + \rho_x \|X(N_p, p, \hat{x}_{k+1}^p) - x^*(y_d, w, u_d)\|^2$$

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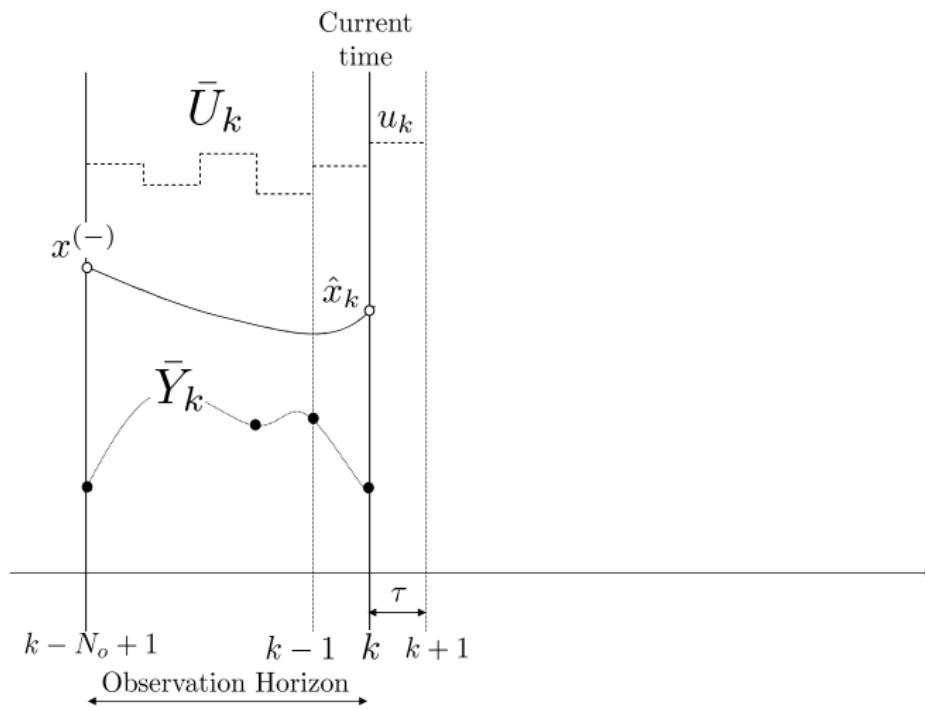
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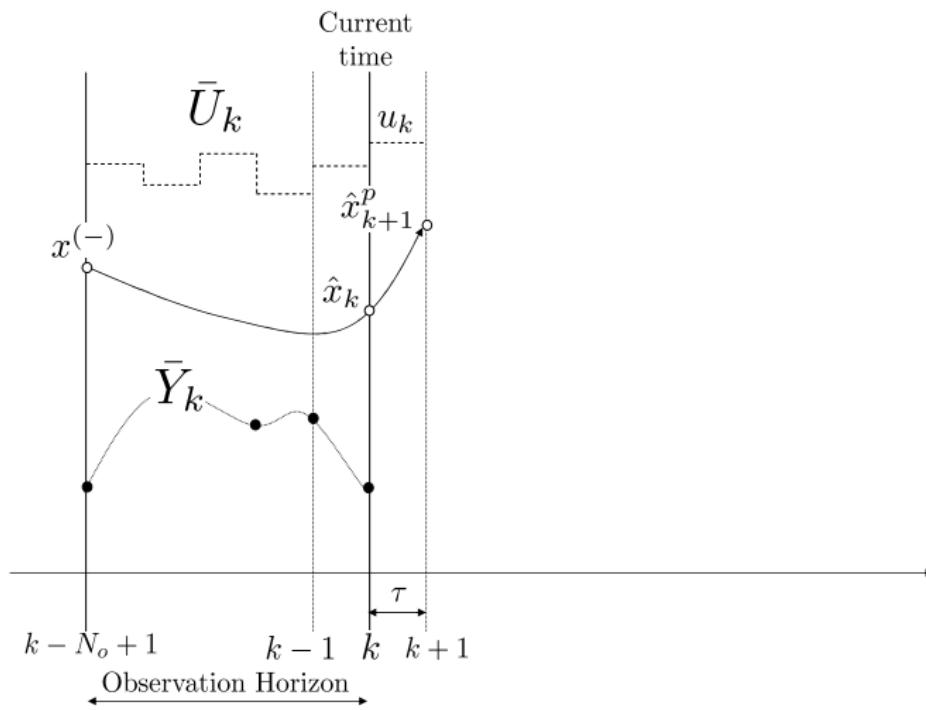
- N_p is the prediction horizon
- ρ_x is the weighting term on the state
- $Y^f(i, y_d, w) := y_d + e^{-3\tau \cdot i / t_r} \cdot [y_k - y_d]$ is the filtered set-points
- $X(\cdot, p, \hat{x}_{k+1}^p)$ is the predicted state trajectory
- $Y(\cdot, p, \hat{x}_{k+1}^p)$ is the predicted output trajectory



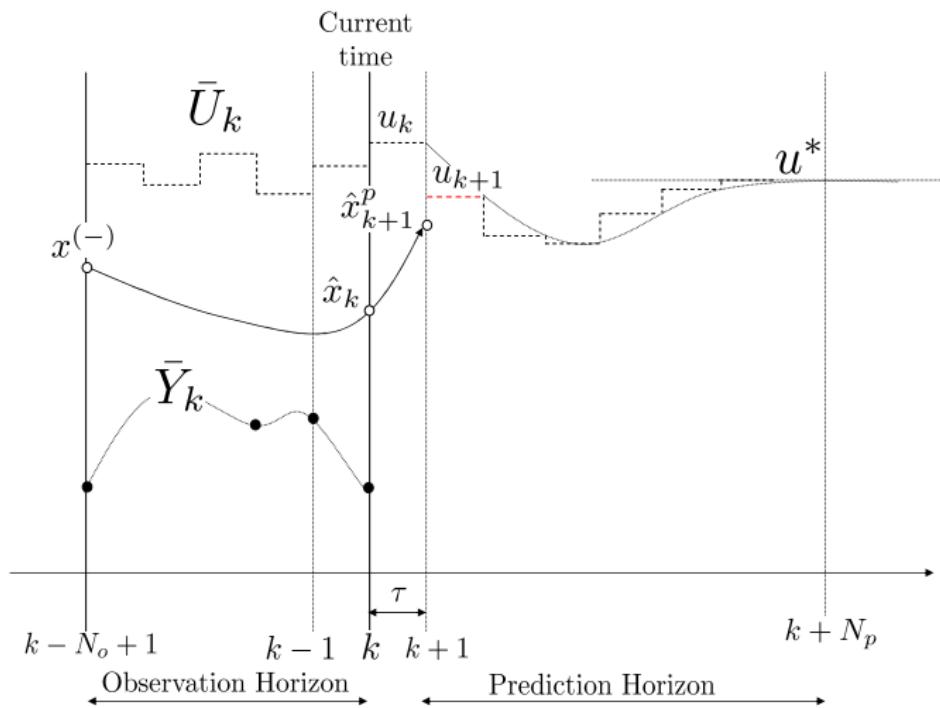
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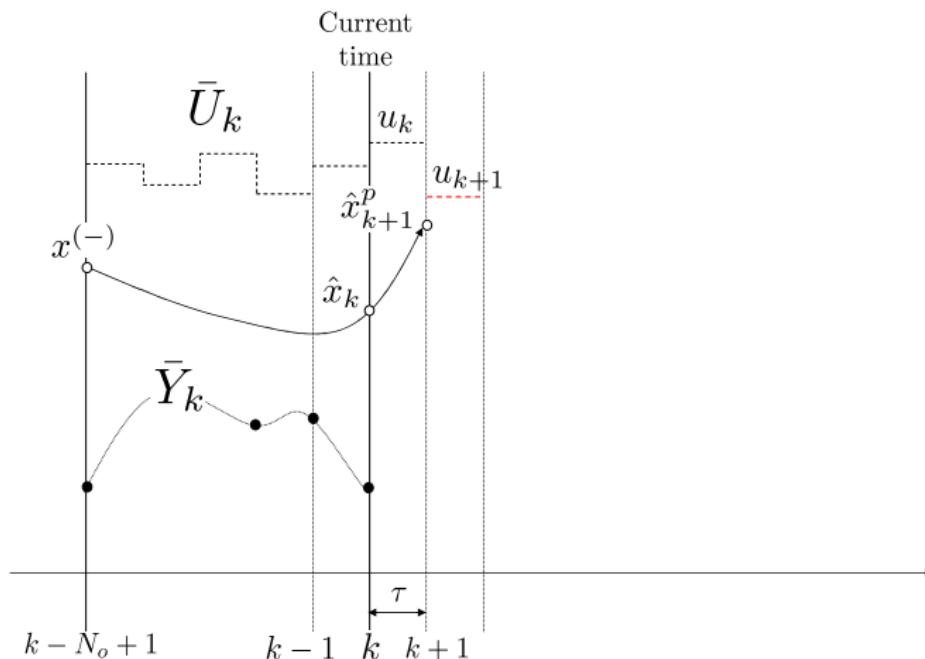
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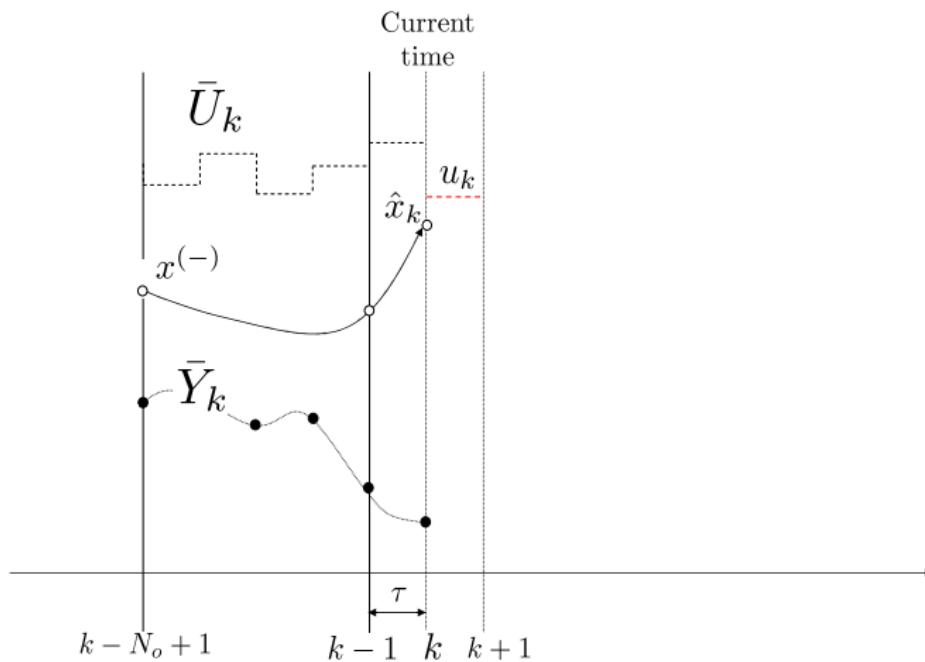
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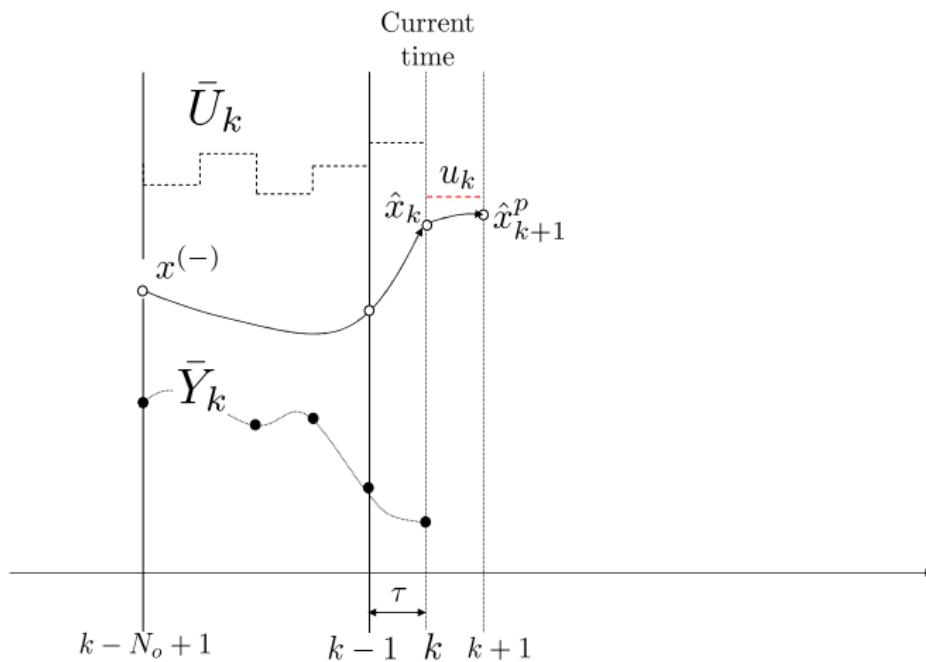
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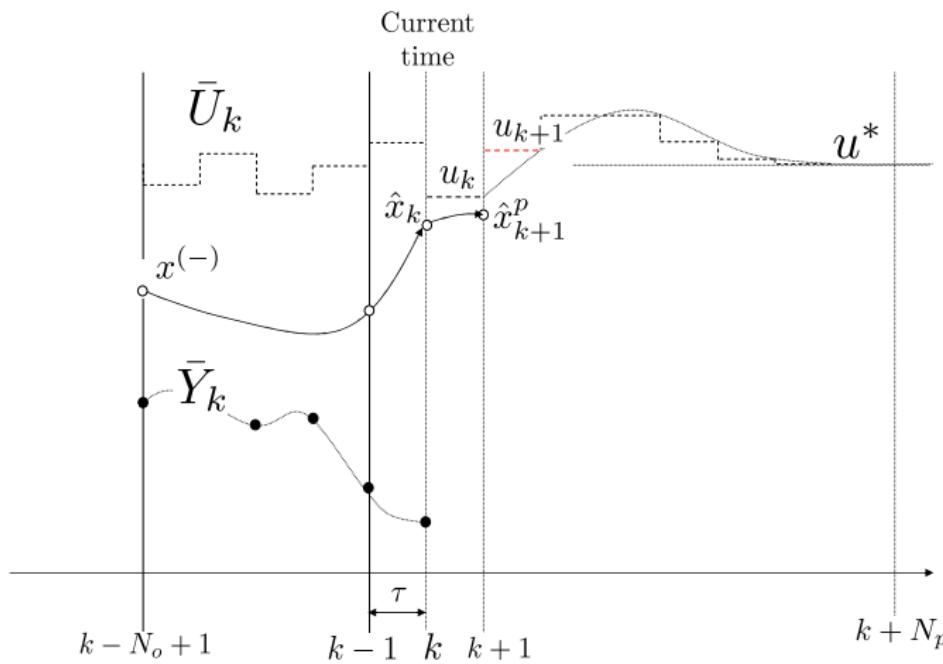
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Experimental Results

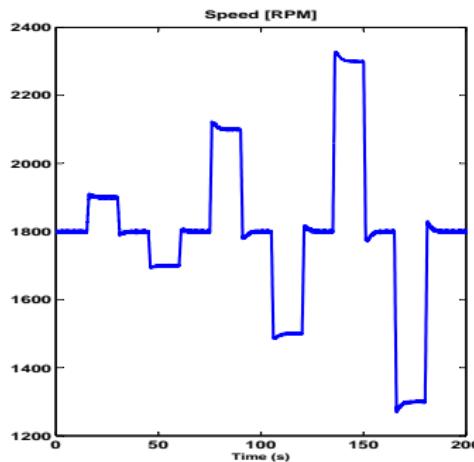
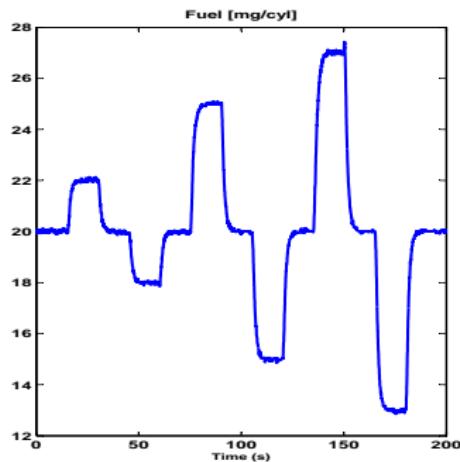
- Testbench at the University of Linz, Austria
- BMW M47D diesel engine
- ECU (Engine Control Unit) : Bosch
- Dynamic NOx measurements, response time : 4ms
- Partial flow opacimeter, response time : 0.1s
- PUMA (Prüfstands - Und Messtechnik - Automatisierung), Analog inputs 100 Hz and Speed/torque control 3000 Hz
- dSPACE Autobox
- Routine developed in C for Matlab S-functions



Experimental Results

Part I

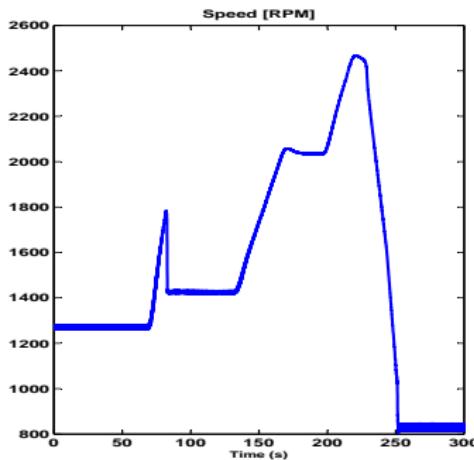
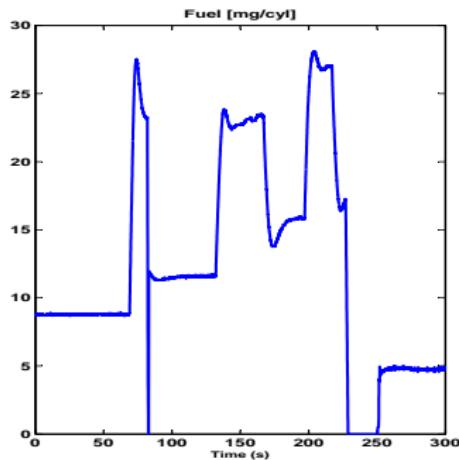
- Generation of set points from a step sequence of speed N and fuel injection wf .



Experimental Results

Part II

- Generation of set points of N and wf from the PUMA system, with respect to the NECS (New European Driving Cycle)



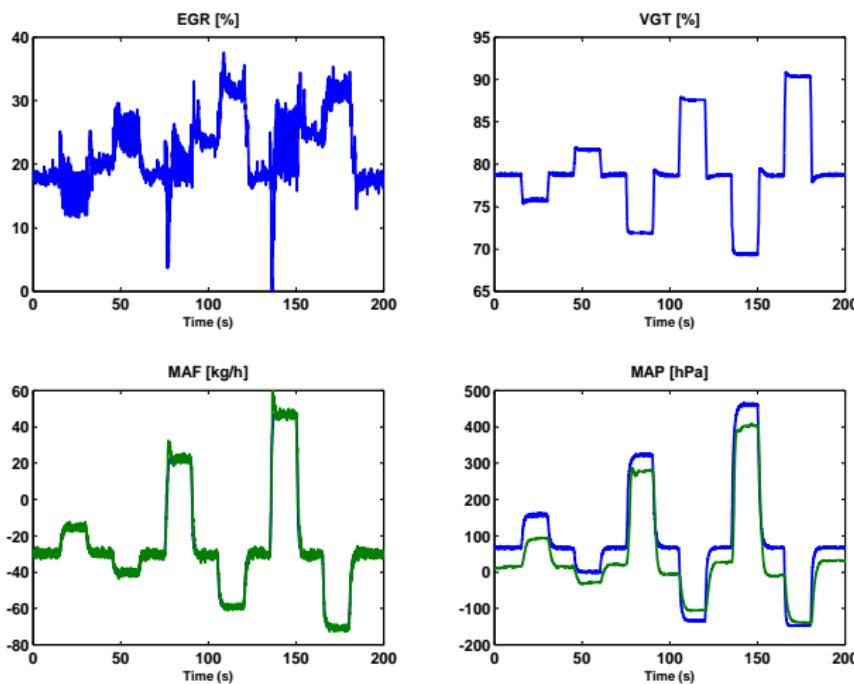
Experimental Results

Initial Configuration

- Sampling Time $\tau=50$ ms
- Prediction Horizon $N_p=30$ steps
- Observation Horizon $N_o=10$ steps
- Number of iterations $iter=30$
- Exponential mode $\lambda=1$
- Exponential mode $q=5$
- Weighting term $\rho_x=1e-4$
- Saturation on the inputs $\delta u=[1 ; 1]$

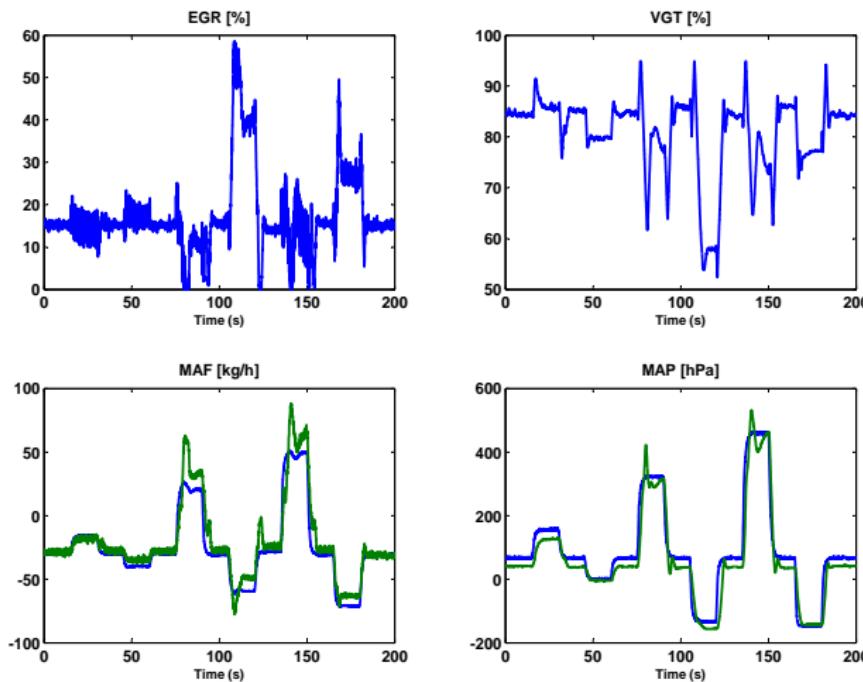


Experimental Results - Part I - ECU



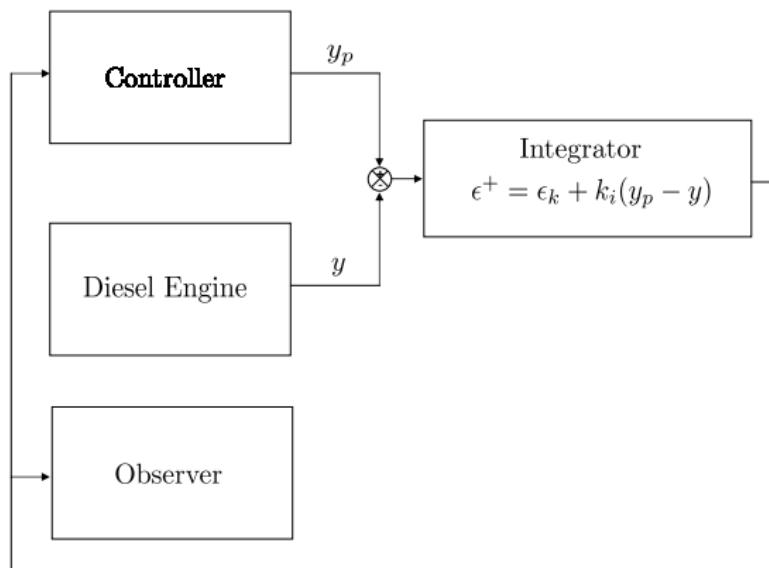
Feed-forward controller

Experimental Results - Part I - NMPC

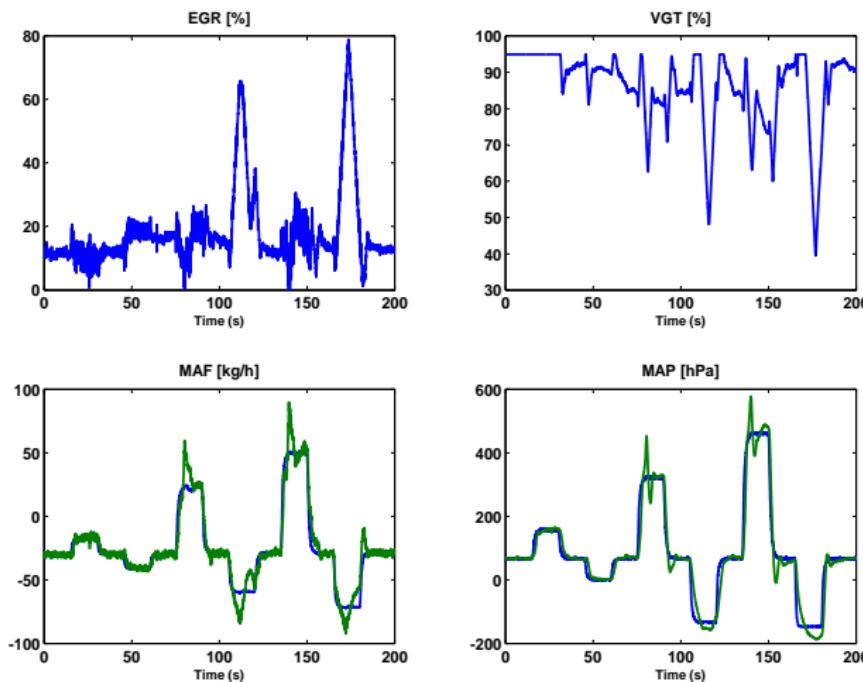


No offset tracking

Experimental Results - Part I - NMPC

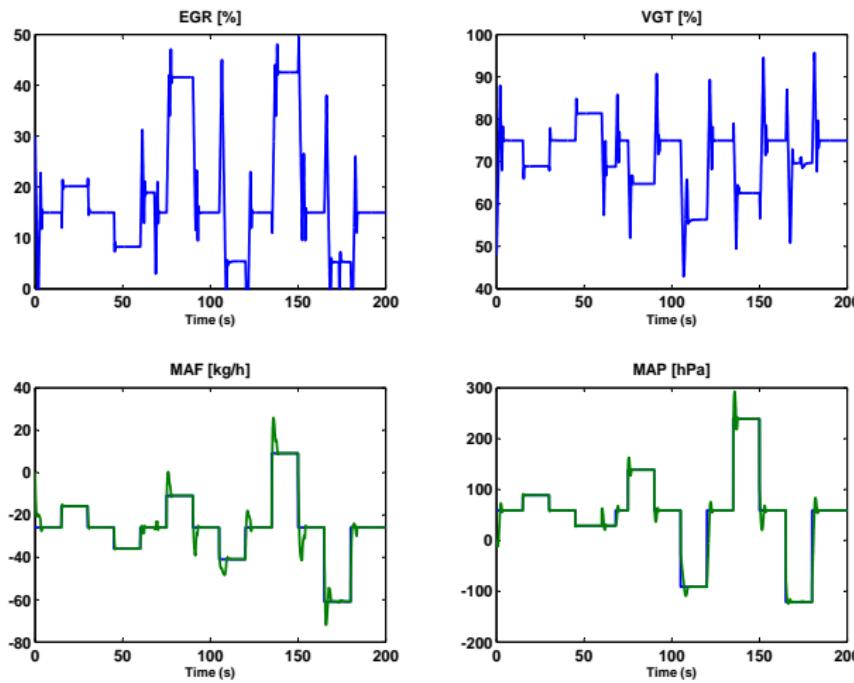


Experimental Results - Part I - NMPC



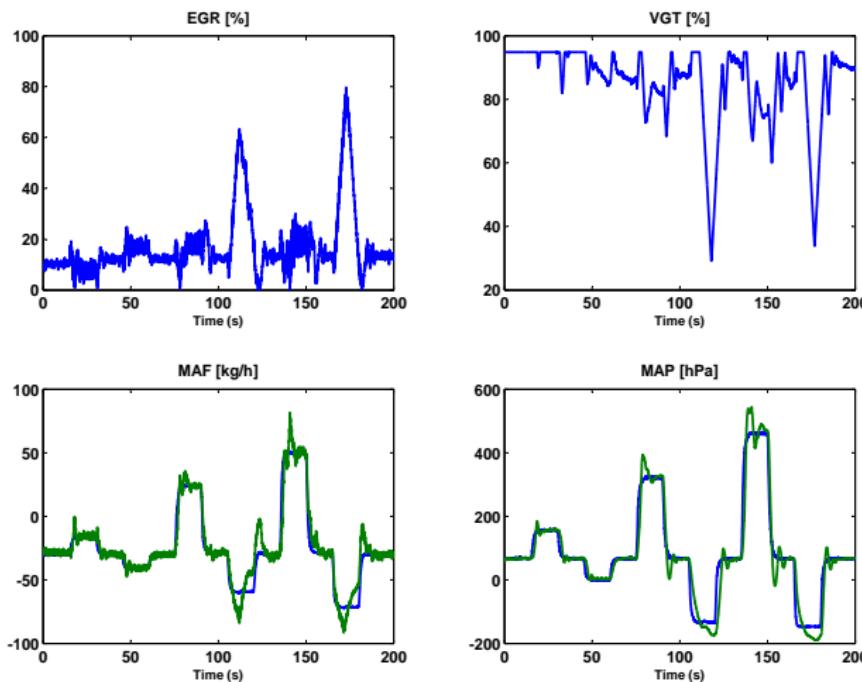
$$N_p=30; \lambda=1; q=5; \rho_x=1e-4; \delta u=[1;1]$$

Simulation Results - Part I - NMPC



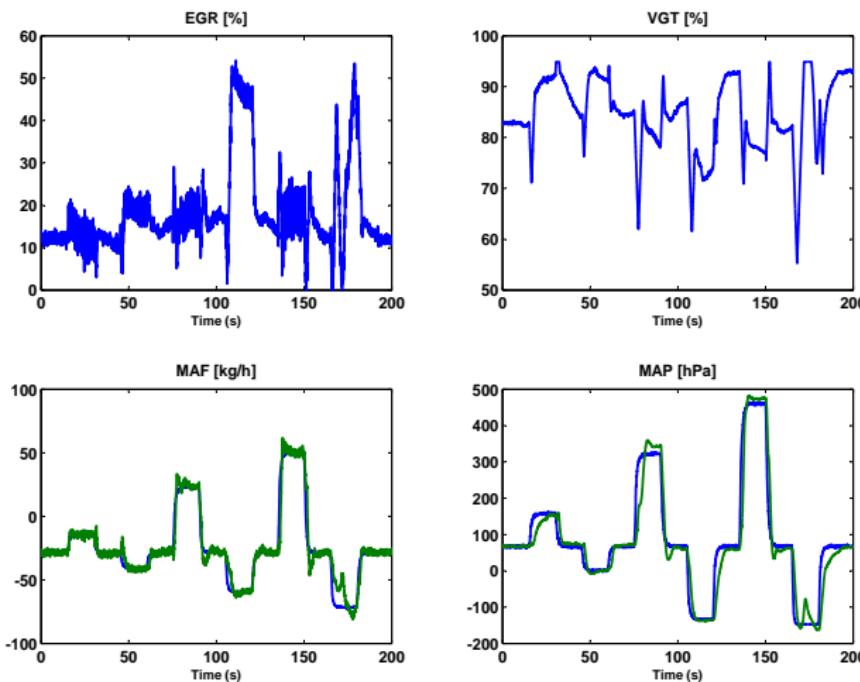
$$N_p=30; \lambda=1; q=5; \rho_x=1e-4; \delta u=[1;1]$$

Experimental Results - Part I - NMPC



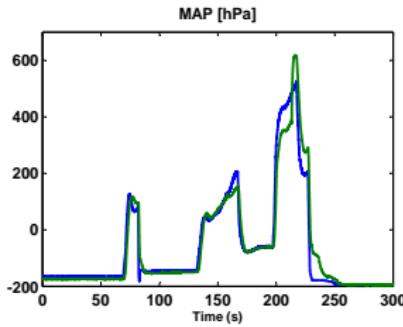
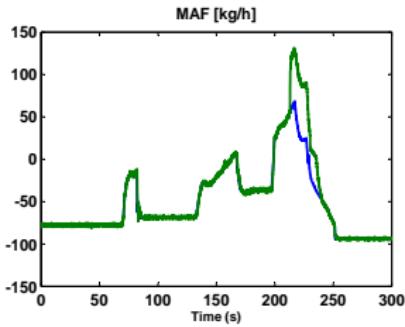
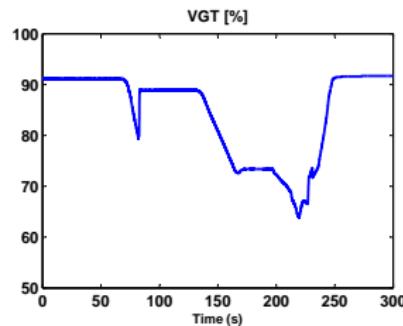
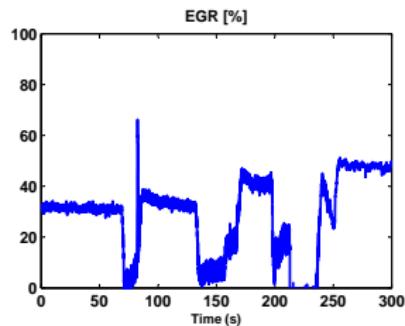
$$N_p = 30; \lambda = 1; q = 5; \rho_x = 1e-4; \delta u = [0.5; 0.5]$$

Experimental Results - Part I - NMPC



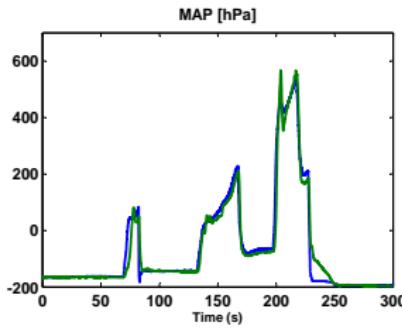
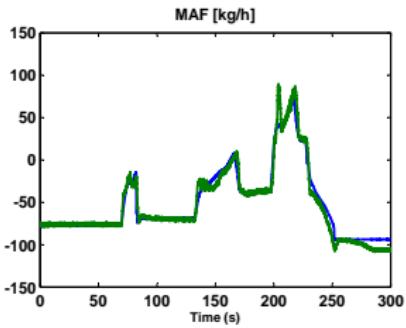
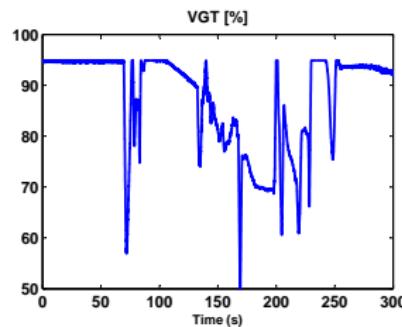
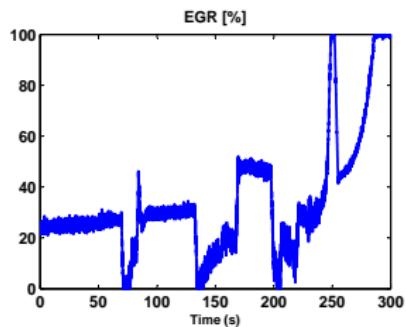
$$N_p = 30; \lambda = 1; q = 5; \rho_x = 1e4; \delta u = [1; 1]$$

Experimental Results - Part II - ECU



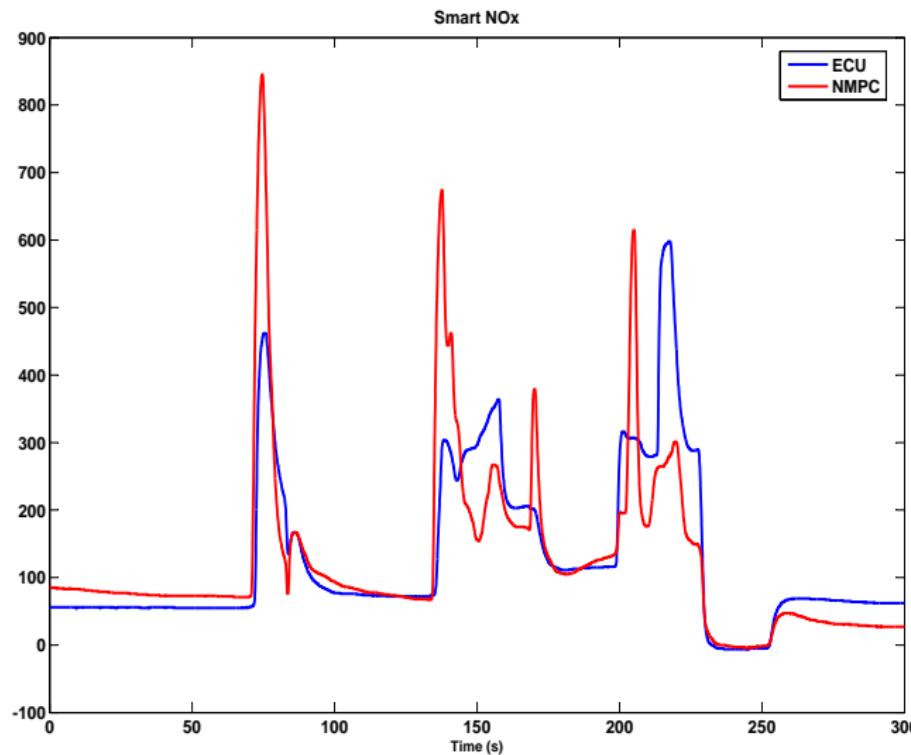
Feed-Foward

Experimental Results - Part II - NMPC



$$N_p=30; \lambda=1; q=5; \rho_x = 1e-4; \delta u = [1; 1]$$

Experimental Results - Part II



Conclusion

- Low-dimensional parameterized NMPC scheme for the Diesel engine
- NMPC structure can be used for a more general class of nonlinear systems
- Good tracking performance for the step sequence and real-world simulation
- Real time implementable

Future Works

- Robust to fast/important set points variations
- Improvements on the steady state optimization problem
- Try better models

